

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mikihiro Gau, et al.

Examiner:

Unassigned

Serial No.:

09/965,174

Art Unit:

Unassigned

Filed:

September 27, 2001

Docket:

14948

For:

AGILE INFORMATION

Dated:

March 1, 2004

SYSTEM AND MANAGEMENT SYSTEM

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Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450, Alexandria, VA 22313-1450

MAR - 9 2004

GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. Japanese Laid-Open Patent Publication No. 10-63751, dated March 6, 1998
- 2. Japanese Laid-Open Patent Publication No. 7-334572, dated December 22, 1995

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, Virginia 22313-1450, on March 1, 2004.

Dated: March 1, 2004

Paul J. Esatto, Jr.

The references were cited in a Japanese Office Action dated January 20, 2004 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

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PJE:yd

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| In Re Application Of: Mikihiro Gau, et al. | | | | | | | |
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| | 37 C | FR 1.97(b) | • | | | | |
| The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | | |
| ☐ the staten | nent specified in 37 CFR 1.97(e); | | | | | | |
| | OR | | | | | | |
| ☐ the fee se | t forth in 37 CFR 1.17(p). | | | | | | |
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| | | 10/63751 | 3/6/1998 | Japan | | | | | |
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